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Assistant Commissioner for Patents  
Washington, D.C. 20231, on 11/13/01

Attorney for Applicants

November 13, 2001  
Date

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of	: Group Art Unit 2152
	:
SURESH L. KONDA et al.	: Examiner: Not Yet Assigned
	:
METHOD AND SYSTEM FOR DATA TRANSFORMATION IN A HETEROGENEOUS COMPUTER SYSTEM	: Attorney Docket No.: 287946-00001
	:
	: Serial No. 09/928,256
	:
	: Filed: August 10, 2001

**INFORMATION DISCLOSURE STATEMENT**

600 Grant Street, 44th Floor  
Pittsburgh, PA 15219  
**November 13, 2001**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to the provisions of 37 CFR Sections 1.56, 1.97 and 1.98, Applicants submit herewith copies of the patents and documents cited on the attached forms PTO/SB/08A and PTO/SB/08B for consideration during prosecution of the subject Application.

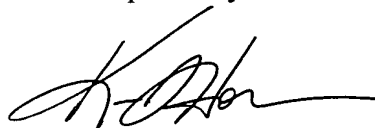
Additional background information is discussed in the specification under the heading "Background Information."

This Statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the examiner of the art cited or an independent search by the examiner, and no representation of any nature is made or intended by the filing of this Statement.

In addition to the art cited on forms PTO/SB/08A and PTO/SB/08B, Applicants and/or their attorneys may have been exposed to or considered additional art relating to the general class of the subject matter of the invention. However, if in fact such exposure or consideration has occurred, to the best of their recall or judgment, none of such art is prior art which is more relevant than the art cited.

This Statement is being filed pursuant to 37 CFR § 1.97(b)(1), within three months of the filing date of this application. Accordingly, no fee is due. Alternatively, if it is determined that any fee is due, we request that it be charged against Deposit Account No. 02-2556. A duplicate copy of this Statement is enclosed.

Respectfully submitted,



Kirk D. Houser  
Reg. No. 37,357  
Attorney for Applicants

(412) 566-6083

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PTO/SB/08B (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

### Complete if Known

Application Number	09/928,256
Filing Date	8/10/01
First Named Inventor	S. Konda
Group Art Unit	2152
Examiner Name	
Attorney Docket Number	287946-00001

### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	KONDA, S. and NAG, P., "BABELATOR, A Document Format Translation Service", October 1999, 1 page	
	BB	KONDA, S. and NAG, P., "Work-Centered Information Management", October 1999, 1 page	
	CC	CORMEN, THOMAS H., et al. "Introduction to Algorithms", The MIT Press, 1989, pp. 469-75; 532-35; 552-58	
	DD	OCKERBLOOM, JOHN, "Mediating Among Diverse Data Formats", Thesis, Carnegie Mellon University, 1998, pp. 1-150	
	EE	OCKERBLOOM, JOHN, "Mediating Among Diverse Data Formats: Thesis Summary", Jan. 14, 1998, pp. 1-14	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/928,256	
	Filing Date	August 10, 2001	
	First Named Inventor	Suresh L. Konda	
	Group Art Unit	2152	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	7*	Attorney Docket Number	287946-00001

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\* excluding references

**ENCLOSURES (check all that apply)**

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
  - PTO/SB/08A and PTO/SB/08B with 19 total references;
  - Return receipt postcard

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Kirk D. Houser, Registration No. 37,357 Eckert Seamans Cherin & Mellott, LLC
Signature	
Date	November 13, 2001

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Typed or printed name	Cathy Roeder
Signature	
Date	November 13, 2001

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